

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Perdon et al.

**Serial No.:** 09/928,493

**Filed:** August 13, 2001

**Entitled:** Predicting the Activities of an Individual  
or Group Using Minimal Information

**Attorney Docket No.:** 109635.144

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Examiner:** TBA

**Group Art Unit:** 2152

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**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Date: February 15, 2002

Patricia Corrigan  
Patricia Corrigan

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the following documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publication be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not constitute a representation by Applicant that a search has been made or that no better art exists and does not constitute an admission that any of the listed documents are material or constitute "prior art." Applicant reserves the right to take appropriate

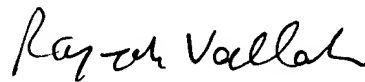
action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Copies of the documents listed on the attached Form PTO-1449 are submitted herewith. It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 with the next Patent Office communication.

No fee is deemed necessary in connection with this filing. However, if a fee is due or a credit owed, the Commissioner is authorized to charge any fee required to maintain the pendency of the application or to credit any overpayment to Deposit Account No. 08-0219.

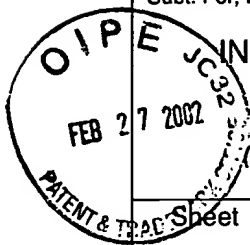
Respectfully submitted,

Date: 2-15-02



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|---|---|----|--------------------------------|----------------------------------|
| Subt. For, PTO-1449   |   |    | Docket Number<br>109635.144    | Application Number<br>09/928,493 |
| INFORMATION DISCLOSURE<br>IN AN APPLICATION<br><i>(Use several sheets if necessary)</i> |   |    | Applicant<br>Perdon et al      |                                  |
|   |   |    | Filing Date<br>August 13, 2001 | Group Art Unit<br>2152           |
| Sheet   | 1 | OF | 1                              |                                  |

| U.S. Patent Documents |                    |          |                 |       |          |                               |
|-----------------------|--------------------|----------|-----------------|-------|----------|-------------------------------|
| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER | DATE     | NAME            | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|                       | 6,163,316          | 12/19/00 | Killian         |       |          |                               |
|                       | 6,177,931          | 1/23/01  | Alexander et al |       |          |                               |
|                       |                    |          |                 |       |          |                               |
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| Foreign Patent Documents |                    |            |         |       |          |             |    |
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| EXAMINER<br>INITIAL      | DOCUMENT<br>NUMBER | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|                          |                    |            |         |       |          | YES         | NO |
|                          | WO 00/49801        | 24.08.2000 | WIPO    |       |          |             |    |
|                          |                    |            |         |       |          |             |    |
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|                          |                    |            |         |       |          |             |    |

| Other Documents (Including Author, Title, Date Pertinent Pages, Etc.) |  |   |
|---|--|---|
|   |  | U.S. Patent Application Publication No. 20010049620 |
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| EXAMINER   | DATE CONSIDERED |
| EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant. |                 |



GP 2152  
#3  
BT  
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PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | <b>Application Number</b>   | 09/928,493                               |
|  | <b>Filing Date</b>          | August 13, 2001                          |
|  | <b>First Named Inventor</b> | Perdon                                   |
|  | <b>Group Art Unit</b>       | 2152                                     |
|  | <b>Examiner Name</b>        | TBA                                      |
| <b>Total Number of Pages in This Submission</b>  |                             | <b>Attorney Docket Number</b> 109635.144 |

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| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Response                                | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
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| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)        |
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| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | <b>Remarks</b>  |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | Form 1449<br>4 Prior Art References as cited  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Rajesh Vallabh, Reg. No. 35,761, Hale and Dorr LLP |
| Signature                                  | <i>Rajesh Vallabh</i>                              |
| Date                                       | 2-15-02  |

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| Typed or printed name  | Patricia Corrigan        |
| Signature  | <i>Patricia Corrigan</i> |
| Date   | 2/15/02                  |

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